

# Questions\*

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The philosophy of language since Frege has emphasized propositions and declarative sentences, but it is clear that questions and interrogative sentences are just as important. Scientific investigation and explanation proceed in part through the posing and answering of questions, and human-computer interaction is often structured in terms of queries and answers.

After going over some preliminaries we will focus on three lines of work on questions: one located at the intersection of philosophy of language and formal semantics, focusing on the semantics of what Belnap and Steel (1976) call *elementary questions*; a second located at the intersection of philosophy of language and philosophy of science, focusing on why-questions and the notion of explanation; and a third located at the intersection of philosophy of language and epistemology, focusing on embedded or indirect questions.

1. Introduction
  - 1.1 Questions, answers, and presuppositions
  - 1.2 Kinds of questions
2. The semantics of elementary questions
  - 2.1 Classical semantic theories of questions
    - 2.1.1 Hamblin semantics
    - 2.1.2 Partition semantics
  - 2.2 Questions in dynamic semantics
    - 2.2.1 Updating equivalence relations
    - 2.2.2 Giving up transitivity
  - 2.3 Inquisitive semantics

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- 2.3.1 The basic system
      - 2.3.2 Some extensions
    - 2.4 Structured question meanings
    - 2.5 Pointers to further reading
  - 3. Why-questions and explanation
    - 3.1 A formal approach: abnormic laws and Bromberger's theory
    - 3.2 A pragmatic approach: explanatory contrast and van Fraassen's theory
      - 3.2.1 How-questions and explanatory contrast
      - 3.2.2 Criticisms of the pragmatic/contrastivist approach
  - 4. Embedded (or indirect) questions
    - 4.1 Knowledge-wh and the imperative-epistemic theory of wh-questions
    - 4.2 Wh-complements as meaningful units
    - 4.3 Wh-complements contextually defined
    - 4.4 Information provision versus contextualism
    - 4.5 Question-relativity
    - 4.6 Wh-complements as predicates
  - 5. Bibliography
  - 6. Academic Tools
  - 7. Other Internet Resources
  - 8. Related Entries
- 

## 1 Preliminaries

R.G. Collingwood (1939) was an early advocate of taking questions seriously. In the decades since the publication of Collingwood's autobiography the topic of questions has regularly received attention from linguists, logicians, and philosophers of language, but few have joined Collingwood (1939, 36-37) in suggesting that propositional logic be replaced by a logic of question and answer in which neither question nor proposition is more basic. Instead, most work on questions since the Second World War fits squarely within the Fregean paradigm where propositions, declarative sentences, and assertion have primacy. The primacy of the assertoric is evident in the work of many who write on the semantics of what Belnap and Steel (1976) call elementary questions and who regard any such question as being identifiable with a set or function involving the propositions that are the question's answers.

## 1.1 Questions, answers, and presuppositions

Familiar considerations from the philosophy of language make it clear that one should distinguish interrogative sentences from their contents and distinguish both of these from the speech acts that can be performed via the utterance of interrogative sentences. For example, Belnap and Steel (1976, 3) understand a question to be an abstract thing for which an interrogative sentence is a piece of notation. This parallels the distinction between propositions and the declarative sentences that express them. The structure and composition of a question (understood as the abstract content of an interrogative sentence) vary from theory to theory. The speech act of asking a question is standardly regarded, e.g., by Searle (1969, 69), as a special case of the illocutionary act of requesting. Searle distinguishes requesting information (asking a real question) from requesting that the hearer display knowledge (asking an exam question). Åqvist (1965) connects questions with speaker knowledge rather than hearer knowledge by proposing that to ask a question is to command the hearer to cause the speaker to know the question's answer.

As is already clear, an important concept in the theory of questions is that of an answer, sometimes called a *direct answer*. Theorists generally agree that an answer is a piece of language or semantic object that, as Belnap and Steel (1976, 3) put it, “completely, but just completely, answers the question.” A sentence or proposition need not be true to be a direct answer. Whether each question can be associated with a definite set of direct answers is a controversial matter, however. Most authors require answers to be sentences or propositions, so that answers to a question are the kind of thing that is true or false. Tichy (1978) is a striking exception and argues that answers can be of any logical type. Consider this example:

- (1) Who was the President of the USA in 1978?
  - a. Jimmy Carter was the President of the USA in 1978.
  - b. Gerald Ford was the President of the USA in 1978.
  - c. Jimmy Carter
  - d. Gerald Ford
  - e. Someone over three inches tall was the President of the USA in 1978.

Most theorists would say that (1a) is the correct answer to (1), that (1b) is an answer but not the correct answer, and that (1c-e) are not answers to (1) at all. Tichy would say that, among (1a-e), only (1c-d) are answers, and (1c) is the correct answer. Braun (2006) would count (1a-b) as answers and include (1e) as both an answer and a correct answer.

A second important basic concept connected with questions is that of a *presupposition*. Belnap and Steel (1976, 5) define a question as presupposing a statement if and only if the truth of the statement is a logically necessary condition for there being a true (i.e., correct) answer to the question. For example, (1a) presupposes the following:

- (2) The USA had exactly one President in 1978.

To deny a presupposition of a question is to give a *corrective answer* to the question, but most theorists join Belnap and Steel in not counting corrective answers as direct answers.

## 1.2 Kinds of questions

Several kinds of questions have been distinguished in the literature.

*Whether-questions* are questions like ‘Was there a quorum at the meeting?’ and ‘Does Jones live in Italy, in Spain, or in Germany?’. These examples illustrate that whether-questions come in two varieties: a whether-question may be of the yes-or-no variety, or it may present two or more alternative direct answers other than yes and no. In either case, a whether-question explicitly presents a finite number of direct answers. Consider the first example:

- (3) Was there a quorum at the meeting?
  - a. There was a quorum at the meeting.
  - b. There was not a quorum at the meeting.

The answers to (3) are (3a-b), and (3) presupposes that the meeting occurred. Thus (4) is a corrective answer to (3):

- (4) The meeting did not take place.

Question (5) is ambiguous:

- (5) Does Jones live in Italy, in Spain, or in Germany?
  - a. Jones lives in Italy.
  - b. Jones lives in Spain.
  - c. Jones lives in Germany.

Question (5) can be read as a yes-no question having two direct answers, but it also has a reading on which it presents exactly three direct answers, namely (5a-c). On the latter reading, (5) presupposes that Jones lives in Italy, in Spain, or in Germany; thus (6) is a corrective answer to (5):

- (6) Jones does not live in Italy, in Spain, or in Germany.

*Which-questions* are questions like ‘What is the smallest prime number greater than 12?’, ‘Which cardinal was elected Pope in 2013?’, and ‘Who shot J.R.?’ Unlike a whether-question, a which-question may have an indefinite or infinite number of direct answers.

Belnap and Steel (1976) refer to whether- and which-questions as *elementary questions*. We consider these kinds of questions in detail in section 2.

Another major category of questions are *why-questions*. It has long been recognized that why-questions are intimately linked with the concept of explanation. For example, Hempel and Oppenheim (1948, 334) write as follows:

A scientific explanation may be regarded as an answer to a why-question, such as ‘Why do the planets move in elliptical orbits with

the sun at one focus?’...

We consider why-questions in detail in section 3.

Yet another major category of questions are *embedded* or *indirect* questions, which occur as wh-complements in declarative sentences:

- (7) John knows *who spoke to Mary*.

The issue of how to understand embedded questions can be seen as lying at the intersection of the philosophy of language and epistemology and will be treated in section 4.

## 2 The semantics of elementary questions

This section provides an overview of some of the most prominent treatments of questions at the intersection of Philosophy of Language and Formal Semantics.

### 2.1 Classical semantic theories of questions

#### 2.1.1 Hamblin semantics

A common starting point for many formal semantic treatments of questions is the idea is that “questions set up a choice-situation between a set of propositions, namely those propositions that count as answers to it” (Hamblin 1973, 48). One way to implement this idea is to take a question to denote, in a world  $w$ , the set of propositions that correspond to a possible answer to the question (Hamblin 1973). Another way to implement the same idea is to let a question denote, in a world  $w$ , the set of propositions that correspond to its *true* answers in  $w$  (Karttunen 1977). In both systems, the *meaning* of a question is a function from worlds to sets of propositions. In Hamblin’s system, this function maps every possible world to the same set of propositions, corresponding to the set of all possible answers; in Karttunen’s system, every world is mapped to a subset of all possible answers, namely those that are true in the given world. As acknowledged by Karttunen (1977, 10), the difference is inessential. In both cases, the meaning of a question is fully determined by—and could be identified with—the set of all propositions that correspond to a possible answer.

A fundamental problem with these accounts is that they do not specify in more detail what “possible answers” are supposed to be. Of course, they do provide a compositional semantics for a fragment of English, and thereby specify what they take to be the possible answers to the questions in that fragment. But in order for these theories to be evaluated, we first need to know what the notion of a “possible answer” is intended to capture. To illustrate this point, consider the following example:

- (8) Who is coming for dinner tonight?  
a. Paul is coming.  
b. Only Paul and Nina are coming.

- c. Some girls from my class are coming.
- d. I don't know.

In principle, all the responses in (8a-d) could be seen as possible answers to (8). For Hamblin and Karttunen, only (8a) counts as such. However, it is not clear what the precise criteria are for being considered a possible answer, and on which grounds (8a) is to be distinguished from (8b-d).

### 2.1.2 Partition semantics

Groenendijk and Stokhof (1984) take a question to denote, in each world, a single proposition embodying the *true exhaustive* answer to the question in that world. For instance, if  $w$  is a world in which Paul and Nina are coming for dinner, and nobody else is coming, then the denotation of (8) in  $w$  is the proposition expressed by (8b).

The *meaning* of a question, then, is a function from worlds to propositions. These propositions have two special properties: they are *mutually exclusive* (since two different exhaustive answers are always incompatible), and together they form a *cover* of the entire logical space (since every world is compatible with at least one exhaustive answer). So the meaning of a question can be identified with a set of propositions which form a *partition* of the logical space.

In many cases, it is intuitively clear what the “true exhaustive answer” to a question in a given world is, at least much clearer than what all the “possible answers” to that question are. This means that a partition semantics can in many cases be tested against clear intuitions, unlike a Hamblin semantics.

However, in some cases it is not so clear what the “true exhaustive answer” to a question in a given world is. Consider the following examples (in (10) we use  $\uparrow$  and  $\downarrow$  to indicate rising and falling intonation, respectively):

- (9) If Ann is coming, will Bill come as well? [conditional question]
- (10) Is Ann $\uparrow$  coming, or Bill $\downarrow$ ? [alternative question]

What is the true exhaustive answer to (9) in a world where Ann is coming and Bill is coming as well? One option is the proposition  $\{w: \text{Ann and Bill are both coming in } w\}$ , but another option is the proposition  $\{w: \text{if Ann is coming in } w \text{ then Bill is also coming in } w\}$ . It is not quite clear pre-theoretically which of these two options is more suitable. Notice that if we pick the second option, then we must assume that the true exhaustive answer to (9) in a world where Ann is coming but Bill is not coming is  $\{w: \text{if Ann is coming in } w \text{ then Bill is not coming in } w\}$ . And this would mean that these two ‘exhaustive’ answers actually overlap (they both contain all worlds where Ann is not coming) and thus do not form a partition. This may be considered a reason to pick the first option instead. However, this line of reasoning is purely theory-internal; it seems impossible to decide on theory-external grounds what the true exhaustive answers to a conditional question should be taken to be.

Conditional questions like (9) also present another challenge for a partition semantics, concerning answers that deny the antecedent of the conditional (in

this case the answer that Ann is not coming). Intuitively, such answers dispel the issue raised by the question, but do not resolve the issue as intended. Their status differs from answers that do resolve the issue as intended (in this case the answer that Bill is coming if Ann is coming, and the answer that Bill is not coming if Ann is coming). In a basic partition semantics it is impossible to capture this. In worlds where Ann is not coming, the answer that Ann is not coming presumably is the true exhaustive answer. Its special status, however, cannot be captured.

A similar problem arises with alternative questions like (10). In this case, the answer that neither Ann nor Bill is coming and the answer that Ann and Bill are both coming have a different status than the answer that only Ann is coming and the answer that only Bill is coming. Again, this difference in status cannot be captured in a simple partition semantics.

## 2.2 Questions in dynamic semantics

### 2.2.1 Updating equivalence relations

We now turn our attention to a line of work that aims to capture the semantics of questions in a *dynamic* framework. The first theories in this line of work were developed by Jäger (1996), Hulstijn (1997), and Groenendijk (1999). Aloni *et al.* (2007b) contains a collection of papers elaborating on these early proposals. All these theories essentially reformulate the partition theory of questions in the format of an update semantics (Veltman 1996). This means that they explicitly identify meanings with *context change potentials*, i.e., functions over discourse contexts. However, unlike a simple update semantics where discourse contexts are modeled as sets of worlds—embodying the information established in the discourse so far—these theories provide a more refined model of discourse contexts, one that also embodies the issues that have been raised so far. More specifically, a discourse context is modeled as an *equivalence relation*  $R$  over a set of worlds  $C$ . The set of worlds  $C$ , i.e., the domain of  $R$ , can be thought of as the *context set*, i.e., the set of all worlds that are compatible with the information established in the discourse so far.  $R$  itself induces a partition on  $C$ , and can thus be taken to encode the issues that have been raised so far. More specifically, we can think of  $R$  as relating two worlds  $w$  and  $v$  just in case the difference between  $w$  and  $v$  is not (yet) at issue, i.e., the discourse participants have not yet expressed an interest in information that would distinguish between  $w$  and  $v$ . In other words,  $R$  can be conceived of as a relation encoding *indifference* (Hulstijn 1997).

Both assertions and questions can then be taken to have the potential to change the context in which they are uttered. Assertions restrict the context set  $C$  to those worlds in which the asserted sentence is true (strictly speaking, they remove all pairs of worlds  $\langle w, v \rangle$  from  $R$  such that the asserted sentence is false in at least one of the two worlds). Questions *disconnect* worlds, i.e., they remove a pair  $\langle w, v \rangle$  from  $R$  just in case the true exhaustive answer to the question in  $w$  differs from the true exhaustive answer to the question in  $v$ .

Thus, the dynamic framework of Jager (1996), Hulstijn (1997), and Groenendijk (1999) provides a notion of context and meaning that embodies both informative and inquisitive content in a uniform way. However, the framework inherits several issues from the classical partition theory of questions, in particular those discussed above concerning conditional and alternative questions.

Moreover, there is a conceptual issue concerning the equivalence relation  $R$ . Namely, if  $R$  is primarily thought of as a relation encoding *indifference*, then it is not clear why it should always be an *equivalence relation*. In particular, it is not clear why  $R$  should always be *transitive*. The discourse participants could very well be interested in information that distinguishes  $w$  from  $v$ , while they are not interested in information that distinguishes either  $w$  or  $v$  from a third world  $u$ . To model such a situation, we would need an indifference relation  $R$  such that  $\langle w, u \rangle \in R$  and  $\langle u, v \rangle \in R$  but  $\langle w, v \rangle \notin R$ . This is impossible if we require  $R$  to be transitive.

### 2.2.2 Giving up transitivity

These concerns have been addressed by Groenendijk (2009) and Mascarenhas (2009). The overall architecture of their system is very much like that of the early dynamic systems discussed above, only indifference relations are no longer defined as equivalence relations, but rather as reflexive and symmetric (not necessarily transitive) relations.

Groenendijk and Mascarenhas argued that this adjustment, besides addressing the conceptual issue concerning indifference relations discussed above, also allows for a better analysis of conditional questions and alternative questions. However, Ciardelli (2009) and Ciardelli and Roelofsen (2011) show that, although the proposed system indeed behaves better for simple cases, it does not scale up to more complex cases in a suitable way. In particular, whereas alternative questions with two disjuncts, like (10) above, are dealt with satisfactorily, or at least more satisfactorily than in a partition semantics, alternative questions with three or more disjuncts are still problematic.

The gist of the problem can be illustrated with a simple example. Consider a language with three atomic sentences,  $p$ ,  $q$ , and  $r$ , and an information state consisting of three worlds,  $w_{pq}$ ,  $w_{qr}$ , and  $w_{pr}$ , where the subscripts of each world indicate which atomic sentences are true at that world. Note that in this information state neither of the atomic sentences is known to hold. Now consider an issue which is resolved just in case at least one of the atomic sentences is established, i.e., just in case we know that the actual world is located within one of the ovals depicted in figure 1.

The problem with the system of Groenendijk (2009) and Mascarenhas (2009) is manifested by the fact that this issue cannot be represented by means of an indifference relation. An indifference relation over the information state  $\{w_{pq}, w_{qr}, w_{pr}\}$  necessarily contains all reflexive world-pairs, and possibly one, two, or three non-reflexive pairs. In either case, however, the resulting issue does not correspond to the one depicted in figure 1.

The general conclusion that has been drawn from this problem, as discussed

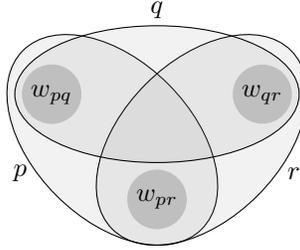


Figure 1: An issue that cannot be represented in the pair-semantics.

in detail by Ciardelli and Roelofsen (2011), is that question meanings cannot be suitably modeled in terms of indifference relations, even if these indifference relations are allowed to be non-transitive. This insight has led to the development of an alternative logical notion of question meanings, which forms the cornerstone of the framework of *inquisitive semantics*, to be discussed below.

## 2.3 Inquisitive semantics

### 2.3.1 The basic system

Recall from section 2.1.1 that a fundamental problem with the classical semantic theories of Hamblin (1973) and Karttunen (1977) is that they do not specify clear criteria for when a response should count as a “possible answer”. Partition semantics (Groenendijk and Stokhof 1984) does specify explicitly which responses should count as possible answers, namely only those that are true and exhaustive. In many cases, it is clear what the true and exhaustive responses to a given question are. However, this is not always the case, as witnessed by conditional and alternative questions. A natural way to proceed, then, is to consider another criterion for what should count as a possible answer.

One natural criterion is the following. We could say that a response to a question counts as a proper answer just in case it *resolves* the issue that the question raises. If we adopt this criterion then we also have to impose a certain condition on question-meanings. That is, question-meanings cannot just be defined as arbitrary sets of propositions, as in the theories of Hamblin (1973) and Karttunen (1977). Rather, they should be defined as *downward closed* sets of propositions. That is, if a question meaning contains a certain proposition  $\alpha$ , then it must also contain all stronger propositions  $\beta \subseteq \alpha$ . After all, suppose that  $\alpha$  is an element of the meaning of a question  $Q$ . Given our answerhood criterion, this means that  $\alpha$  corresponds to an issue-resolving response to  $Q$ . But then every  $\beta \subseteq \alpha$  corresponds to an even more informative, and therefore also issue-resolving response. So, given our answerhood criterion,  $\beta$  must also be an element of the meaning of  $Q$ .

This conception of question meanings forms the cornerstone of the most basic implementation of *inquisitive semantics*, the system  $\text{Inq}_B$  (Groenendijk

and Roelofsen 2009, Ciardelli 2009, Ciardelli and Roelofsen 2011, Roelofsen 2013, among others). In this system, question meanings are defined as downward closed sets of propositions that together cover the entire logical space.<sup>1</sup> We will refer to such sets as *inquisitive question meanings*.<sup>2</sup>

Partitions correspond to a specific kind of inquisitive question meanings. That is, for every partition  $P$ , there is a corresponding inquisitive question meaning  $I_P$ , consisting of all propositions that are contained in one of the blocks in  $P$ :

$$I_P := \{\alpha \subseteq \beta \mid \beta \in P\}$$

However, not every inquisitive question meaning corresponds to a partition. In fact, an inquisitive question meaning  $I$  corresponds to a partition if and only if for every subset  $I' \subseteq I$  such that  $\bigcap I' \neq \emptyset$ ,  $\bigcup I'$  is also in  $I$ . There are many inquisitive question meanings that do not have this special property. Thus, the notion of question meanings in  $\text{Inq}_B$  is more general than the notion of question meanings in partition semantics. The interested reader is referred to Groenendijk 2011 and Ciardelli *et al.* 2013 for a discussion of several systems that fall between  $\text{Inq}_B$  and partition semantics in terms of expressive power.

The set of all meanings in  $\text{Inq}_B$ , together with a suitable notion of entailment, form a Heyting algebra, just like the set of all meanings in classical logic ordered by classical entailment (Roelofsen 2013). Thus, the basic connectives (disjunction, conjunction, implication, and negation) can be associated with the basic algebraic operations on meanings (join, meet, and (relative) pseudo-complementation), just as in classical logic. This is indeed how the connectives are treated in  $\text{Inq}_B$ , although other treatments of the connectives are also conceivable in this setting (see, e.g., Ciardelli *et al.* 2013).

### 2.3.2 Some extensions

In current work, the basic system sketched above is extended in several directions. Below are pointers to some of these extensions.

Ciardelli *et al.* (2012) consider a notion of meaning that is very much like the one adopted in  $\text{Inq}_B$ , but also has a *presuppositional* component. Such a notion of meaning is needed to suitably deal with alternative questions and *which*-questions.

Ciardelli *et al.* (2009) and Westera (2012) develop an extension of  $\text{Inq}_B$  in which the meaning of a sentence does not only capture its informative and inquisitive content, but also its *attentive content*, i.e., its potential to draw

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<sup>1</sup>The latter condition ensures that questions can be truthfully resolved in every possible world. That is, if the propositions that make up a question meaning are required to cover the entire logical space, then for any question meaning  $I$  and any world  $w$ , there is always at least one proposition  $\alpha$  in  $I$  that contains  $w$ . This proposition corresponds to a response that is true in  $w$  and resolves the given question. The condition that questions can be truthfully resolved in every possible world is weakened in *presuppositional* extensions of  $\text{Inq}_B$ , see section 2.3.2.

<sup>2</sup>A note on terminology: the term *inquisitive semantics* was initially used to refer to the system developed in Groenendijk 2009 and Mascarenhas 2009, discussed in section 2.2.2 above, but is currently generally used to refer to the system  $\text{Inq}_B$  and its extensions. Here, to avoid confusion, we use it only to refer to the latter.

attention to certain possibilities without necessarily providing or requesting information that determines whether these possibilities obtain (as in, e.g., *Bill might come for dinner*). In this system, which we will refer to as  $\text{Inq}_A$ , it is not only possible to characterize what the resolving responses to a given question are, but also which of these responses are *related* to the question in a strict logical sense (Westera, 2012). It is shown in Ciardelli 2010 that meanings in  $\text{Inq}_B$  are not fine-grained enough for this purpose.

Groenendijk and Roelofsen (2010) develop an extension of  $\text{Inq}_A$  in which the meaning of a sentence does not only capture the *positive* responses to that sentence, but also the *negative* responses. It is argued that such an extension is needed, in particular, for a suitable treatment of conditional questions.

Roelofsen and van Gool (2010) and Farkas and Roelofsen (2012) develop an extension of  $\text{Inq}_A$  in which the meaning of a question does not only capture what is needed to resolve the issue raised by that question, but also which propositions are made available by the question for subsequent anaphoric reference. These propositions may serve as antecedents for polarity particles (e.g. *Is Paul coming? Yes/No*) and other anaphoric expressions (e.g. *Is Paul coming? Then/otherwise I'll make pasta*).

Finally, Ciardelli and Roelofsen (2012) present a system that integrates inquisitive semantics with the framework of *dynamic epistemic logic* (van Ditmarsch *et al.* 2007, van Benthem 2011, among others). Such a system is needed to formally describe and reason about the information states of the discourse participants, and how these information states change in the process of exchanging information.

## 2.4 Structured question meanings

The theories discussed above all construe question meanings as sets of propositions, and are therefore referred to as *proposition set* theories. It has been argued that question meanings as construed by proposition set theories are all too coarse-grained to account for certain linguistic phenomena. In order to address this issue, several theories have been developed that adopt more fine-grained, *structured* notions of question meanings. Such theories have been couched in different semantic frameworks, which are all more fine-grained than the standard possible world framework. For instance, the proposal of Krifka (2001) is couched in a structured meanings framework, that of Ginzburg and Sag (2000) in situation semantics, that of Ginzburg (2005), Cooper and Ginzburg (2012) in type theory with records, that of Aloni *et al.* (2007a) in dynamic semantics, and that of Blutner (2012) in ortho-algebraic semantics. We will illustrate the general approach here by focusing on the proposal of Krifka (2001), which in turn has its roots in earlier work of Hull (1975), Tichy (1978), Hausser (1978), von Stechow and Zimmermann (1984), von Stechow (1991), and Ginzburg (1992).

The central idea is that question meanings are pairs  $\langle B, R \rangle$ , where  $B$  is called the *background* and  $R$  the *restriction*.  $B$  is a function that, when applied to the semantic value of an appropriate term answer to the question, yields a proposition.  $R$  specifies what appropriate term answers are, i.e., what the

semantic entities are that  $B$  can be applied to.

For instance, the meaning assigned to (11a) is (11b):<sup>3</sup>

- (11) a. Which student called?  
 b.  $\langle \lambda x.\lambda w.called(x)(w), students \rangle$

In this case,  $B$  is a function that maps every individual  $x$  to the proposition  $\{w: x \text{ called in } w\}$ , and  $R$  is the set of students. In the case of a polar question,  $R$  is taken to be a set consisting of two functions on propositions, the identity function and the function that maps every proposition to its complement, which are assumed to be expressed by *yes* and *no*, respectively. For instance:

- (12) a. Did Mary call?  
 b.  $\langle \lambda f.f(\lambda w.called(m)(w)), \{\lambda p.p, \lambda p.\neg p\} \rangle$

From a structured question meaning it is always possible to obtain the corresponding proposition set meaning, by applying  $B$  to all the elements of  $R$  (and taking the downward closure of the resulting set of propositions in case we want an inquisitive question meaning in the sense of  $\text{Inq}_B$ ). It is not possible to go in the other direction, which means that structured question meanings have strictly more expressive power than proposition set meanings (e.g., von Stechow 1991, Krifka 2001).

This additional expressive power is needed to account for certain phenomena. For instance, the questions in (13) and (14) have exactly the same set of exhaustive/resolving answers, which means that they receive exactly the same semantic value in any of the proposition set accounts discussed above.

- (13) Is the door open? Yes. / No.  
 (14) Is the door open or closed? \*Yes. / \*No.

Yet, the two questions differ in that the first licenses polarity particle responses while the second doesn't. In a structured meanings approach, the two questions are semantically distinguishable. This additional semantic fine-grainedness forms the basis for an account of polarity particle responses.

Note that some of the extended implementations of inquisitive semantics (e.g., Roelofsen and Gool 2010, Farkas and Roelofsen 2012) are also fine-grained enough to account for polarity particle responses. As mentioned above, in these implementations the meaning of a question does not only capture what is needed to resolve the issue that the question raises, but also which propositions are made available by the question for subsequent anaphoric reference, for instance

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<sup>3</sup>As is commonly done in formal semantics, we use expressions from the lambda calculus here to describe functions. For instance,  $\lambda x.mother(x)$  is a function that takes an individual  $x$  as its input and yields  $x$ 's mother as its output. Similarly,  $\lambda x.\lambda w.called(x)(w)$  is a function that takes an individual  $x$  as its input and then yields as its output another function,  $\lambda w.called(x)(w)$ , which takes a world  $w$  as its input and yields a truth value, either 1 or 0, depending on whether the individual  $x$  called in the world  $w$ . The latter function is the characteristic function of the set of all worlds in which  $x$  called, i.e., the proposition that  $x$  called. It is common practice to identify propositions with their characteristic functions.

by polarity particles. In effect, capturing anaphoric potential also adds structure to question meanings. Thus, these implementations maintain a proposition set perspective, but at the same time address the need for richer semantic structures as well. Such a synthesis is also achieved in Aloni *et al.* (2007a).

## 2.5 Pointers to further reading

The overview provided here is of course not exhaustive. There are a number of excellent recent handbook articles on the semantics of questions, each focusing on slightly different aspects. Groenendijk and Stokhof (1997) provide a thorough review of the literature up to 1997, focusing on the partition theory, but also supplying an in-depth discussion of the epistemic-imperative approach (Åqvist 1965, Hintikka 1976, Hintikka 1983) and the treatment of questions in speech act theory (Searle 1969, Vanderveeken 1990).

Ginzburg (2010) provides a concise overview of several more recent analyses of questions, including, besides the ones discussed here, the *inferential erotetic logic* of Wisniewski (2001), the treatment of questions in modal logic by Nelken and Francez (2002) and Nelken and Shan (2006), the dialogue-based approach of Ginzburg (1996), Ginzburg (2012), Roberts (1996), Larsson (2002), among others, the SDRT based approach of Asher and Lascarides (1998), and the treatment of questions in *dynamic epistemic logic* developed by van Benthem and Minică (2012).

Finally, Krifka (2011) provides an overview of the classical proposition set accounts, early implementations of inquisitive semantics, and the structured meanings approach, taking a more linguistic perspective than other overview articles. Krifka does not only discuss the semantics of questions, but also their possible syntactic configurations and intonation patterns, supplying examples from a wide range of languages.

## 3 Why-questions

For whether-questions (indeed, for all elementary questions in the view of some), the question-answer relationship can be defined in purely formal terms. One approach to why-questions is to try to make the question-answer relationship formal in that case, too, or at least as formal as possible. The main proponent of this approach is Bromberger (1966), whose account is also the first influential account of why-questions. Van Fraassen (1980) takes an opposite view, theorizing that the question-answer relationship is almost purely pragmatic. We consider both theories in some detail below.

### 3.1 A formal approach: abnormic laws and Bromberger's theory

If we follow Hempel in regarding an explanation as an answer to a why-question, Bromberger's theory of why-questions can be seen also as a theory of explana-

tion, indeed, one that incorporates [Hempel's deductive-nomological model](#) while aiming to improve on it.

Bromberger introduces several concepts for use in his account: the *presupposition* of a why-question, *abnormic laws* and their *antonymic predicates*, and *general rules*, focusing especially on general rules that are *completed by* abnormic laws.

Bromberger supposes that (15) is the general form of a why-question:

(15) Why is it the case that  $p$ ?

The presupposition of (15) is  $p$ , and this agrees with the usual concept of presupposition for questions, since if  $p$  is not the case then (15) has no correct answer. A *general rule* is a (true or false) law-like statement of the form

$$(\forall x)(Fx \rightarrow Gx),$$

where  $Fx$  and  $Gx$  may, in the general case, be conjunctions. A *special abnormic law* is a true, law-like statement of the form

$$(\forall x)(Fx \rightarrow (Ex \leftrightarrow (A_1x \vee \dots \vee A_nx))).$$

Special abnormic laws satisfy five additional conditions of non-triviality and non-redundancy that we need not get into, and Bromberger (1966, 98) introduces the more complicated notion of a general abnormic law, which we may also ignore for present purposes. The predicate  $E$  appearing in a special abnormic law and  $E$ 's negation are the *antonymic predicates* of the abnormic law. Bromberger (1966, 98) illustrates the concept of an abnormic law with the following example:

No sample of gas expands unless its temperature is kept constant but its pressure decreases, or its pressure is kept constant but its temperature increases, or its absolute temperature increases by a larger factor than its pressure, or its pressure decreases by a larger factor than its absolute temperature.

The antonymic predicates of this special abnormic law are 'expands' and 'does not expand', and the logical form that Bromberger's theory postulates for this abnormic law is as follows:<sup>4</sup>

(16)  $\forall x(Gx \rightarrow (Ex \leftrightarrow (Tx \vee Px \vee Ax \vee Dx)))$

Bromberger (1966, 99) defines the completion of a general rule by an abnormic law as follows:

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<sup>4</sup>The predicates are to be interpreted as follows:  $Gx$  stands for ' $x$  is a sample of gas';  $Ex$  stands for ' $x$  expands';  $Tx$  stands for 'the temperature of  $x$  is kept constant while the pressure decreases';  $Px$  stands for 'the pressure of  $x$  is kept constant while the temperature increases';  $Ax$  stands for 'the absolute temperature of  $x$  increases by a larger factor than its pressure'; and  $Dx$  stands for 'the pressure of  $x$  decreases by a larger factor than its absolute temperature'. Given the English wording in the passage from which (16) derives, one might argue that the material biconditional in (16) should be replaced with a material conditional, but it is clear that (16) is the logical form that Bromberger intends.

An abnormic law is the completion of a general rule if and only if the general rule is false and is obtainable by dropping the “unless” qualifications. ([...] this requires negating the predicate substituted for  $E$ —or dropping the negation if it is already negated—deleting the biconditional connective, and making the obvious bracketing adjustments.)

Abnormic law (16) is the completion of the (false) general rule ‘No gas expands’:

$$(17) \quad \forall x(Gx \rightarrow \neg Ex)$$

Bromberger (1966, 100) goes on to define the correct answer to a why question as follows:  $q$  is the correct answer to (15) if and only if (i) there is an abnormic law  $L$  (which may be general or special) and  $p$  is the proposition that results from predicating of some individual one of the antonymic predicates of  $L$ ; and (ii)  $q$  together with  $L$  and other premises  $r_1, \dots, r_j$  constitute a deductive-nomological explanation with conclusion  $p$ ; and (iii) there is a false proposition  $s$  such that  $s$  and  $p$  are contraries and, were it not for the falsity of  $s$  and  $L$ , premises  $r_1, \dots, r_j$  and the general rule completed by  $L$  would count as a deductive-nomological explanation of  $s$ ; and (iv) the general rule completed by  $L$  is such that if one of the conjuncts of its antecedent is removed, the resulting general rule cannot be completed by an abnormic law.

Here is an illustration of Bromberger’s theory based on abnormic law (16). Suppose  $a$  is a sample of gas that expanded, and suppose its pressure was kept constant but its temperature increased, i.e.,  $Ga$ ,  $Ea$ , and  $Pa$  are all true. Now consider the question

$$(18) \quad \text{Why did } a \text{ expand?}$$

On Bromberger’s theory, the correct answer is  $Pa$ , i.e.,

$$(19) \quad \text{The pressure of } a \text{ was kept constant while the temperature of } a \text{ increased.}$$

This is the correct answer because  $Pa$ , along with  $Ga$  and abnormic law (16) form the premises of a deductive-nomological explanation with conclusion  $Ea$ , but when  $Pa$  is deleted as a premise (leaving  $Ga$  as a premise) and general rule (17) is substituted for abnormic law (16) as a premise, we obtain argument (20), which would count as a deductive-nomological explanation of  $\neg Ea$ , were it not for the fact that (17) and  $\neg Ea$  are not true:

$$(20) \quad a \text{ is a sample of gas; no sample of gas expands; therefore } a \text{ did not expand, i.e., } Ga; \forall x(Gx \rightarrow \neg Ex); \text{ therefore } \neg Ea.$$

So, in this application of Bromberger’s theory,  $p$  is  $Ea$ ,  $q$  is  $Pa$ ,  $L$  is (16), the general rule completed by  $L$  is (17),  $r_1$  is  $Ga$ , and  $s$  is  $\neg Ea$ .

Intuitively, Bromberger’s account makes  $Pa$  the correct answer to (18) in virtue of the idea that  $Pa$  is a full specification of the special (or “abnormal”) circumstances triggering the expansion of  $a$ . Notice that one of the premises

of the deductive-nomological explanation of  $Ea$ , namely  $Ga$ , is not part of the triggering package and is not part of the correct answer to (18). Two factors in Bromberger’s account jointly keep  $Ga$  from being included. The first is that  $Ga$  is a premise not only in the actual deductive-nomological explanation of  $Ea$  but also in the fictitious deductive-nomological explanation (20) of  $\neg Ea$ . So relative to (16) and (17),  $Ga$  is not a special or abnormal circumstance. Is there another abnormic law/general rule pair relative to which  $Ga$  would be included in the correct answer to (18)? Apparently not, which brings us to the second factor excluding  $Ga$  from the correct answer to (18): if  $Gx$  is dropped from (17) we obtain the general rule ‘Nothing expands’, which it seems that no abnormic law completes.<sup>5</sup>

Bromberger’s theory was aimed at saving certain intuitions about what should and should not count as correct answers to why-questions. For example, consider a straight, 40-foot high utility pole standing perpendicular to the ground. A taut 50-foot wire is fastened to the top of the pole and to a point on the ground 30 feet from the base of the pole. Now consider the question

(21) Why is the height of the pole 40 feet?

and the intuitively incorrect answer

(22) Because there is a 50 foot wire that is tautly stretched between the top of the pole and a point 30 feet from the base of the pole.

Bromberger (1966, 105) argues that (22) does not count as a correct answer to (21) on his theory in part because the following is not an abnormic law:

(23) No pole that is straight and perpendicular to the ground is 40 feet high unless there is a 50 foot wire that is tautly stretched between the top of the pole and a point 30 feet from the base of the pole.

Nor would (23) become an abnormic law if additional disjuncts were added after ‘unless’.

Teller (1974) argues that while (22) may not count as a correct answer to (21) on Bromberger’s theory, other answers that are as objectionable as (22) do get counted as correct answers, such as this “dispositional” answer to (21):

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<sup>5</sup>One might wonder whether (16) is an abnormic law that completes ‘Nothing expands’, but (16) is of the wrong form. An abnormic law completing ‘Nothing expands’ would have the form ‘Nothing expands unless it is  $\phi$ ’. (16) is of the form ‘No gas expands unless it is  $\psi$ ’, but notice that this is not equivalent to ‘Nothing expands unless it is a gas and  $\psi$ ’. Indeed, the latter is sure to be false (and therefore not an abnormic law) no matter what replaces  $\psi$ , since things other than gases expand. In order for an abnormic law of the form ‘Nothing expands unless it is  $\phi$ ’ to complete the general rule ‘Nothing expands’,  $\phi$  would have to be a disjunction exhaustively specifying every type of thing that expands. Thus,  $\phi$  would have to contain a disjunct mentioning gases (and the conditions under which gases expand) as well as a disjunct for each type of thing that is capable of expanding (along with the conditions under which that type of thing expands). The correctness of  $Pa$  as an answer to (18) depends on there not being such a  $\phi$ , and this could conceivably be disputed, but it is at least plausible that there is no such  $\phi$ .

- (24) Because if a 50 foot wire were tautly stretched from the top of the pole to the ground, it would touch the ground at a point 30 feet from the base of the pole.

Teller (1974, 375) argues that Bromberger's theory requires that (24) count as a correct answer in virtue of the following abnormic law:

- (25) No pole that is straight and perpendicular to the ground is 40 feet high unless it is such that if a 50 foot wire were tautly stretched from the top of the pole to the ground, it would touch the ground at a point 30 feet from the base of the pole.

Teller proposes other counterexamples by devising a method for turning examples showing that Hempel's deductive-nomological theory of explanation is too permissive into examples showing that Bromberger's theory of why-questions is also too permissive. Teller's method exploits the fact that when abnormic laws are rewritten in certain logically equivalent ways, the resulting statements must then also count as abnormic laws.

### 3.2 A pragmatic approach: explanatory contrast and van Fraassen's theory

A second major development in the theory of why-questions is the account of van Fraassen (1980, ch. 5). Van Fraassen's theory is motivated by the idea that explanation is not a special relationship between theory and reality. Rather, an explanation is just a *description* of reality that serves a contextually determined purpose, namely that of answering a why-question. Van Fraassen's theory is thus an erotetic (i.e., question-theoretic) theory of explanation, as opposed to an account of why-questions in terms of explanation. He offers this theory in the context of developing his account of [Constructive Empiricism](#).

For van Fraassen, a why-question  $Q$  can be identified with a triple  $\langle P, X, R \rangle$ , where  $P$  is a true proposition (the *topic* of the question);  $X$  is a set of propositions to which  $P$  belongs and of which  $P$  is the only member that is true (*the contrast class* of  $Q$ ); and  $R$  is a contextually determined relation of explanatory relevance, which holds between a proposition and the topic/contrast-class pair  $\langle P, X \rangle$ . The standard linguistic expression of  $Q$  is

- (26) Why  $P$  in contrast to the rest of  $X$ ?

For example, consider 'Why do birds in the northern hemisphere go south for the winter, whereas mammals and reptiles do not?' In this case,  $P$  is the proposition that birds in the northern hemisphere go south for the winter, and  $X$  is the set containing  $P$  along with the proposition that mammals in the northern hemisphere go south for the winter and the proposition that reptiles in the northern hemisphere go south for the winter. The contrast class parameter allows one to distinguish different why-questions that have the same topic. Thus one can ask why northern hemisphere *birds* (rather than mammals or reptiles) go

south for the winter, and this is different from asking why northern hemisphere birds go *south* (rather than north or west) for the winter. Until one specifies a contrast class, van Fraassen argues, a particular why-question has not been identified or posed. Like van Fraassen, Garfinkel (1981) advances a view on which explanatory contrast takes center stage, but we will focus here on the details of van Fraassen’s account. See Temple 1988 for a comparison of van Fraassen’s and Garfinkel’s respective treatments of explanatory contrast.

Suppose that  $X = \{P, P_1, \dots, P_k, (\dots)\}$ , and that  $P$  is not one of the  $P_k$ s. (Note that  $X$  may be finite or infinite.) Then, where  $A$  is any proposition, van Fraassen (1980, 144) defines a direct answer to  $Q$  to be any proposition having the following truth conditions:

(27)  $P$  and, for all  $k \geq 1$ ,  $\neg P_k$ , and  $A$ .

The standard wording of a direct answer (28) to  $Q$  uses the word ‘because’ in place of the second ‘and’ in (27):

(28)  $P$ , in contrast to the rest of  $X$ , because  $A$ .

On van Fraassen’s view, the contribution of ‘because’ to the truth conditions of (28) is simply boolean conjunction, as reflected in (27). The role of ‘because’ in (28) is to perform the pragmatic function of indicating that (27) is being used for an explanatory purpose, not to give a non-truth-functional dimension to the truth conditions of (28). Proposition  $A$  (the core of answer (27)/(28)) is said to be relevant to  $Q$  iff  $A$  bears the relevance relation  $R$  to  $\langle P, X \rangle$ . In general, to ask why is to ask for a reason, and  $R$  varies according to the kind of reason that is being requested in a given context. One can ask why in order to request causal factors, to request a justification, to request a purpose, to request a motive, to request a function, and so on.

A why-question, according to van Fraassen (1980, 144-145) presupposes (i) that its topic is true, (ii) that, in its contrast class, only its topic is true, and (iii) that at least one proposition bearing the explanatory relevance relation to the topic/contrast-class pair is true. When the first or second presupposition fails (because the contextually determined body of background knowledge in play does not entail both (i) and (ii)), the why-question *does not arise*. When the third presupposition fails, the why-question has no answer even if it arises. For example, suppose that paresis indeterministically strikes some people who have untreated syphilis. Then, if ten people have untreated syphilis, and exactly one of them, John, goes on to contract paresis, there may be no answer to the question ‘Why did John, in contrast to the other nine, contract paresis?’ Since paresis develops indeterministically from syphilis, nothing favors John (in contrast to the other nine syphilis patients) as being likely to develop paresis. On the other hand, if Bill and Sarah never had syphilis, the question ‘Why did John, in contrast to Bill and Sarah, develop paresis?’ does have an answer: ‘John developed paresis, in contrast to Bill and Sarah, because John had syphilis but Bill and Sarah did not.’ In this case, as in the first, the why-question requests causal factors that led to John’s getting paresis while the others mentioned in

the contrast class did not. In both cases, then, the same relevance relation  $R$  is in play because the same kind of information is requested, namely causal factors leading to the truth of the topic in contrast to the other members of the contrast class. If there are no such causal factors, as in the first version of the paresis case, the question is to be rejected. If, as in the second version of the paresis case, there are such factors, so that at least one proposition bears the relevance relation to the topic/contrast-class pair, then a candidate answer  $A$  is evaluated according to three criteria: how acceptable or likely  $A$  is, the degree to which  $A$  favors  $P$  over other members of  $X$ , and whether  $A$  is made irrelevant by other answers.

### 3.2.1 How-questions and explanatory contrast

Van Fraassen's theory of why-questions is intended as a theory of explanation, but why-explanation seems not to be the only kind of explanation there is. Cross (1991) argues that answers to how-questions are explanations, too, and, building on van Fraassen's theory of why-questions, Cross offers a theory of how-questions that ultimately unifies why- and how-explanation in a single theory of explanatory questions.

Firstly, it must be noted that not every how-question requests an explanation. For example, 'How far is it to Cleveland?' asks for a distance, not an explanation. In general, according to Cross (1991, 248), a how-question is explanation-seeking whenever 'how' can be paraphrased as 'in what way'.

Secondly, ways, like reasons, come in a variety of kinds (Cross 1991, 248-9):

- (29)
- a. By what road (How did you get here?)
  - b. In what manner (How did you behave at the party?)
  - c. By what argument (How will you justify this?)
  - d. By what method (How do you perform an appendectomy?)
  - e. By what means (How did you get that money?)
  - f. In what respect (How do these differ?)
  - g. By what process (How do DNA molecules replicate?)

Thirdly, Cross argues that one can see phenomena of explanatory contrast in how-questions in such examples as the following:

- (30)
- a. How do DNA molecules (in contrast to molecules of benzene and hexane) replicate?
  - b. How do reptiles (in contrast to mammals and birds) reproduce?

The linguistic form (31) of a how-question and its answer (32), according to Cross, are as follows, where, as in van Fraassen's theory, the contrast class  $X$  is a set of propositions containing  $P$ :

(31) How is  $P$  (in contrast to the rest of  $X$ ) the case?

(32)  $P$  (as contrasted with the rest of  $X$ ) in this way:  $A$ .

Notice, however, that whereas in (30a) the propositions in  $X$  other than  $P$  are

false, in (30b) all three members of  $X$  are true: birds, mammals, and reptiles reproduce. This, Cross argues, reflects the fact that how-questions can exhibit two different kinds of explanatory contrast. By asking (30a) one requests an answer that highlights those special qualities of DNA that enable it to replicate and that benzene and hexane do not possess. By asking (30b), on the other hand, one requests an answer that highlights the differences between the way in which reptiles reproduce and the ways in which mammals and birds reproduce. The latter kind of explanatory contrast also appears to be at play when (30b) is re-worded this way:

- (33) I know how mammals and birds reproduce, but how do *reptiles* reproduce?

In view of this, Cross introduces a contextual parameter in his account of how-questions to indicate whether a given how-question presupposes that all members of the contrast class are true or whether it presupposes that all members of the contrast class other than  $P$  are false. In the resulting account, a how-question is an ordered quadruple  $\langle P, X, R, n \rangle$ , where  $P$  is the topic of the question;  $X$  is the contrast class, which is a set of propositions to which  $P$  belongs;  $R$  is a contextually determined relation of explanatory relevance, which holds between a proposition and the topic/contrast-class pair  $\langle P, X \rangle$ , and  $n$  is the contrast value 0 or 1. If  $n = 0$ , the question presupposes that in  $X$  only  $P$  is true; if  $n = 1$ , the question presupposes that all of the members of  $X$  are true. The explanatory relevance relation  $R$  is to be understood as varying from context to context depending on what kind of way is being requested in that context. Finally, Cross (1991, 252) defines a direct answer to a how-question as follows:

- (34) A proposition  $B$  is a direct answer to  $\langle P, X, R, n \rangle$  iff there is some proposition  $A$  such that  $A$  is relevant to  $\langle P, X, n \rangle$  and if  $n = 0$ , then  $B$  is the proposition which is true iff  $A$  and  $P$  are true and every  $C$  such that  $C \in X \setminus \{P\}$  is false, and if  $n = 1$ , then  $B$  is the proposition which is true iff  $A$  and all members of  $X$  are true.

Having found examples in which how-questions have contrast value 1, Cross argues that why-questions, too, can presuppose that the other members of their contrast classes are true. Consider a therapy meeting for alcoholics in which each member of the group is asked the following question:

- (35) Why did *you* (in contrast to the other members of the group) start drinking too much?

In this case it appears that the asker is requesting an answer that highlights factors that distinguish the alcoholism of the person to whom the question is addressed from that of the others in the group. This and other evidence leads Cross to conclude that how- and why-questions are the same kind of question — both are *explanatory* questions — and both can be represented as having the structure  $\langle P, X, R, n \rangle$ . If a proposition  $A$  must be a *reason* for  $P$  (in contrast

to the rest of  $X$ ) in order to bear relation  $R$  to  $\langle P, X, n \rangle$ , then the question is worded with ‘why’ and the answer with ‘because’; if  $A$  must be a *way* for  $P$  to be the case (in contrast to the rest of  $X$ ) in order to bear relation  $R$  to  $\langle P, X, n \rangle$ , then the question is worded with ‘how’ and the answer with ‘by’, ‘in this way’, or similar wording.

It is possible to accept Cross’s theory as a theory of how-questions only and to resist the final move of unifying how- and why-questions into a single species of question. The unification that Cross proposes assumes that why-questions can have contrast value 1, but Risjord (2000, 73-4) argues that instead of accepting that (35) is a why-question with contrast value 1, one can instead analyze it as a why-question with contrast value 0 that makes reference to the topics of other why-questions (also having contrast value 0) that have been or could be raised in the given context.

### 3.2.2 Criticisms of the pragmatic/contrastivist approach

Kitcher and Salmon (1987) were early critics of van Fraassen’s theory of why-questions as a theory of explanation. They object (1987, 319) that the lack of constraints on the relevance relation  $R$  “allows just about anything to count as the answer to just about any [why-]question.” Other critics of van Fraassen’s theory include Ruben (1987) and Temple (1988), who argue that explanatory contrast is an unnecessary complication because any contrastive why-question ‘why  $P$  (in contrast to  $Q$ )?’ is equivalent to the non-contrastive why-question ‘why  $P \& \neg Q$ ?’. Risjord (2000, 70) rebuts this reduction of the contrastive to the non-contrastive by arguing that it leads to the untenable result that whenever  $P$  entails both  $\neg Q$  and  $\neg R$ , ‘why  $P$  (in contrast to  $Q$ )?’ must then be logically equivalent to ‘why  $P$  (in contrast to  $R$ )?’, since  $P \& \neg Q$  is logically equivalent to  $P \& \neg R$  if  $P$  entails  $\neg Q$  and  $\neg R$ . But questions of these forms need not be equivalent, since they may call for different answers. For example, if Art is a vegan and is allergic to chocolate, a correct answer to ‘Why did Art eat fruit for dessert (rather than eating ice cream and skipping the fruit)?’ will cite his being a vegan and not his chocolate allergy, whereas a correct answer to ‘Why did Art eat fruit for dessert (rather than eating chocolate and skipping the fruit)?’ will cite his chocolate allergy but not his being a vegan.

In recent years the topic of why-questions has been somewhat neglected by philosophers, at least compared to other topics in the theory of questions. One notable exception to this is Hintikka and Halonen 1995, which develops a theory of why-questions in the context of Hintikka’s interrogative model of inquiry.

## 4 Embedded (or indirect) questions

Interrogative expressions can be embedded (as wh-complements or indirect questions) into attitude contexts to form sentences that are declarative, as when someone is said to know, tell, care, or wonder who, what, whether, how, or why. Where the attitude in question is knowledge, these sorts of examples are called

*knowledge-wh*. Knowledge-how in the sense of skill-possession, as in ‘Smith knows how to ride a bicycle’, has generated a literature of its own and is treated [elsewhere](#).

The discussion of knowledge-wh has focused mostly on whether-, what-, which-, and who-complements, as in these examples:

- (36) John knows whether the closet is empty.
- (37) John knows what is in the closet.
- (38) John knows who came to the meeting.
- (39) John knows where the meeting was held.

Groenendijk and Stokhof (1982) provide a rich source of examples of intuitively valid and invalid inferences involving wh-complements, such as the following intuitively valid inference (p. 179):

- (40) John believes that Bill and Suzy walk; only Bill walks; hence, John doesn’t know who walks.

#### 4.1 Knowledge-wh and the imperative-epistemic theory of wh-questions

Knowledge-wh figures explicitly in the *imperative-epistemic theory of wh-questions* developed by Åqvist (1965). The imperative-epistemic account is further developed by Hintikka (1975, 1976) and has been influential among philosophers of science interested in models of inquiry and discovery, such as Kleiner (1993).

According to the imperative-epistemic account, to ask a question is to issue an imperative requiring the addressee to bring it about that the speaker knows the answer to the question. Knowledge-wh comes into it because to know the answer is to be in a state that can be described using a knowledge-wh sentence. For example, according to the imperative-epistemic account, question (41) is to be understood as imperative (42):

- (41) Is the cat on the mat?
- (42) Bring it about that I know whether the cat is on the mat!

and question (43) is to be understood as imperative (44):

- (43) When does the meeting begin?
- (44) Bring it about that I know when the meeting begins!

#### 4.2 Wh-complements as meaningful units

Is a wh-complement occurring in a longer sentence a meaningful unit? If so, what does it denote? Several early approaches to wh-complements can be organized around answers to these questions.

Assuming that wh-complements are meaningful units of the sentences in which they occur, one option (Groenendijk and Stokhof 1982) is to take wh-complements to denote individual propositions. A second option (Karttunen 1977) is to take wh-complements to denote sets of propositions. In either case, John’s knowing who walks consists in the obtaining of a relation between John and the denotation of the expression ‘who walks’. On the view that wh-complements denote individual propositions, wh-complements and that-complements are treated uniformly, and Groenendijk and Stokhof (1982) contend that this uniform treatment is a virtue of their theory. Lewis (1982) favors the same sort of account, but Lewis applies it only to whether-complements.

Karttunen, a proponent of the second option, takes wh-complements to denote sets of true propositions, so that ‘what John reads’ denotes (Karttunen 1977, 20) “a set which contains, for each thing that John reads, the proposition that he reads it.” On Groenendijk and Stokhof’s account, by contrast, ‘what John reads’ denotes the proposition that is true in a possible world if and only if the set of things that John reads in that world equals the set of things John in fact reads. That is, ‘what John reads’ denotes a proposition that entails for each thing John reads that he reads it and for each thing John does not read that he does not read it. Thus if one knows what John reads, it follows on Groenendijk and Stokhof’s account (but not on Karttunen’s) that one knows what John does not read. Also, on Groenendijk and Stokhof’s account, the difference between knowing-that and knowing-wh amounts to a difference in what we might call the *rigidity* of the complement. Consider the claim that I know that John reads *Moby Dick* and the claim that I know what John reads. The term ‘that John reads *Moby Dick*’ refers to the same proposition at every possible world; the term ‘what John reads’ refers to different propositions at worlds at which John reads different things (and refers to the proposition that John reads *Moby Dick* at those worlds at which *Moby Dick* is the one and only thing that John reads).

### 4.3 Wh-complements contextually defined

If wh-complements are not meaningful units of the sentences in which they occur, one option is to interpret wh-complements “contextually”, as Russell interpreted definite descriptions. Indeed, Hintikka (1976, Chapter 4) argues that knowledge-wh sentences like (37)-(39) are ambiguous between two readings: a universal reading and an existential reading. In the case of (37), Hintikka’s two readings are as follows:

- (45)    a.  $\exists x(x \text{ is in the closet} \ \& \ \text{John knows that } x \text{ is in the closet})$   
           b.  $\forall x(x \text{ is in the closet} \rightarrow \text{John knows that } x \text{ is in the closet})$

Karttunen (1977, 7) disputes the existence of Hintikka’s ambiguity.

### 4.4 Information provision versus contextualism

Braun (2006) offers a very different account of knowledge-wh on which the question-answer relationship underlying knowledge-wh is much less formal, and

this makes it very easy to have knowledge-wh on Braun's account.

Consider this example:

(46) Who is Hong Oak Yun?

Examples like (46) are *identity questions*, which seem intuitively to call for a dimension of context-dependence that standard theories of the question-answer relationship do not accommodate. The idea is that different ways of identifying Hong Oak Yun are relevant in different contexts; accordingly, different propositions count as answers (or as correct answers) to (46) in different contexts. Aloni (2005) provides a recent example of a theory designed to accommodate this intuition. Braun (2006) rejects the intuition entirely.

According to Braun's (2006, 26) *information provision* account of questions, "to answer a question is simply to provide information about the subject matter of the question." That is, (46) is answered by any proposition that provides information about Hong Oak Yun, even the proposition expressed by 'Hong Oak Yun is a person who is over three inches tall'. This answer may not satisfy or be useful to or be informative for the speaker who poses (46), but it counts as an answer despite these purely pragmatic failings, according to Braun's theory. To *know who Hong Oak Yun is*, according to Braun, is simply to know the truth of a proposition that answers (46), which is to say, to know the truth of any proposition that provides information about Hong Oak Yun. Braun's view contrasts with the contextualism of Boër and Lycan (1986) according to which knowing who Hong Oak Yun is requires knowing a proposition that provides contextually relevant information about Hong Oak Yun.

## 4.5 Question-relativity

Where Boër and Lycan view knowing-wh as context-relative, Schaffer (2007) views it as question-relative. The problem, according to Schaffer, is that if knowledge-wh is reduced to knowledge-that and is not question-relative, then cases of knowledge-wh that should be distinguished will not be distinguished. Schaffer calls this the Problem of Convergent Knowledge. For example, suppose that (47) is true:

(47) John knows that the cat is on the mat.

On a non-question-relative account of knowledge-wh that reduces knowledge-wh to knowledge-that, all three of the following will be equivalent because all three can be reduced to (47):

- (48)
- a. John knows whether the cat is on the mat or in the garage.
  - b. John knows where the cat is.
  - c. John knows what is on the mat.

Schaffer argues that sentences like (48a-c) are not equivalent. According to Schaffer's account, assuming the cat is indeed on the mat, to know where the cat is is to know that the cat is on the mat relative to the question 'Where

is the cat?’, whereas to know what is on the mat is to know that the cat is on the mat relative to the question ‘What is on the mat?’. Schaffer argues, in the end, that all knowledge, including knowledge-that, is question-relative. Aloni and Égré (2010) offer a different take on Schaffer’s Problem of Convergent Knowledge, arguing that it reveals a pragmatic ambiguity concerning what it means to know the answer to a wh-question.

## 4.6 Wh-complements as predicates

Brogaard (2009) rejects both reductionist views (which, like Hintikka’s, reduce knowledge-wh to knowledge-that) and anti-reductionist views (which, like Schaffer’s, analyze knowledge-wh as question-relative knowledge-that), arguing instead that wh-complements are predicates and knowledge-wh is a special kind of de re knowledge. For example, on Brogaard’s view, the logical form of (48c) is

$$(49) \quad \exists x \text{ (John knows that } x \text{ is what is on the mat)}$$

For a detailed critique of the recent literature on knowledge-wh, see Chapter 2 of Stanley 2011.

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