

DEONTIC AND EPISTEMIC MODALS IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

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Questions in Discourse

Georg August University at Göttingen

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Introduction

Aims

GOALS OF THE TALK

- Introduce a **suppositional [inquisitive] semantics** for a propositional language which contains **epistemic modals**.
- Add an Andersonian treatment of **deontic modals**.
- Give a **suppositional semantic solution** to a Jackson inspired **puzzle** which involves both types of modals.

SUPPOSITIONAL [INQUISITIVE] SEMANTICS

- By suppositional **[inquisitive]** semantics we mean the **reduced version** of suppositional inquisitive semantics that results from only considering the **fragment** of the propositional language that **lacks conjunction and disjunction**
- In the full inquisitive version, **disjunction and conjunction** are the only **sources of inquisitiveness**

NOTATION FOR EPISTEMIC AND DEONTIC MODALS

EPISTEMIC POSSIBILITY $\diamond\varphi$

DEONTIC OBLIGATION $\boxed{V}\varphi$

EPISTEMIC NECESSITY $\Box\varphi := \neg\diamond\neg\varphi$

DEONTIC PERMISSION $\diamond\Box\varphi := \neg\boxed{V}\neg\varphi$

THE SITUATION

A REVIEW REQUEST: DOES THE FOLLOWING HOLD?

(1) You ought to accept the request to write a review. $\boxed{v}p$

THE SITUATION AT QUESTIONS IN DISCOURSE

A REVIEW REQUEST: DOES THE FOLLOWING HOLD?

(2) Should you accept the request to write a review? $? \square p$

THE SITUATION

AN INSTANTIATION OF A GENERAL RULE

(3) If you accept the request to write a review, you ought to write it.

$$p \rightarrow \boxed{V}q$$

THE SITUATION

ADDING A SPECIFIC RULE

- (4) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall} q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall} p$

PARAPHRASES OF (4-B)

- (5) a. If it is **epistemically possible** that you write the review, ...
- b. If you **might** write the review, ...
- c. If it is **supposable** that you write the review, ...
- d. Unless it is **impossible** that you write the review, ...

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- d. Unless it is **impossible** that you write the review, ...

THE UNPROBLEMATIC CASE

RELEVANT: DOES (6-C) HOLD?

- (6) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall} q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall} p$
- c. It is possible that you write the review. $\diamond q$

DESIDERATA

- (7) a. If writing is possible, then you must accept and write.

THE UNPROBLEMATIC CASE

RELEVANT: DOES (6-C) HOLD?

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- c. It is possible that you write the review. $\diamond q$

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- (7) a. If writing is **possible**, then you **must accept and write**.

THE PUZZLE

WHAT IF (8-c) HOLDS?

- (8) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall} q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall} p$
- c. It is **not** possible that you write the review. $\neg \diamond q$

DESIDERATA

- (9) a. If writing is **possible**, then you **must accept and write**.
- b. If writing is **not possible**, then you **must not accept**.

THE PUZZLE

WHAT IF (8-C) HOLDS?

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DESIDERATA

- (9) a. If writing is **possible**, then you **must accept and write**.
- b. If writing is **not possible**, then you **must not accept**.

WHAT GOES WRONG

THE PUZZLE

- (10) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall}q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall}p$
- c. It is **not** possible that you write the review. $\neg \diamond q$

WHAT GOES WRONG

- When $\neg \diamond q$ holds, restricting to $\diamond q$ results in the **empty set**.
- From (10-b) and (10-c), $\boxed{\forall}p$ vacuously holds.

COUNTER-INTUITIVE PREDICTIONS

- Regardless of whether $\diamond q$ or $\neg \diamond q$ holds, $\boxed{\forall}p$ holds.
- (10-a), (10-b) and (10-c) result in a deontic conflict: when $\neg \diamond q$ holds, either $\boxed{\forall}p$ or $p \rightarrow \boxed{\forall}q$ is violated.

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THE PUZZLE

- (10) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall}q$
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SOLUTIONS

POSSIBLE SOLUTION

Appealing to pragmatic reasoning regarding vacuous truth.

GOAL OF THIS TALK

Demonstrate a **semantic solution** to puzzles concerning **dismissals**.

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REQUIRED STEPS

THE PUZZLE

(11) If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{v} p$

THE STEPS TO OUR SOLUTION

- Epistemic *might* - Veltman
- Implication - Ramsey
- Deontic *must* - Anderson

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Basic notions

Dismissals

SUPPOSITIONAL [INQUISITIVE] SEMANTICS

SUPPOSABILITY AND SUPPOSITIONAL DISMISSAL

- (12) a. If Abe goes to the party, Bea will go. $p \rightarrow q$
 b. No, if Abe goes to the party, Bea will not go. $p \rightarrow \neg q$
 c. Well, Abe won't go. $\neg p$

INTUITIONS FOR SUPPOSITIONAL INQUISITIVE SEMANTICS

- (12-a) and (12-b) contradict each other.
- (12-c) dismisses (12-a) and (12-b).

SUPPOSITIONAL DISMISSAL

CONDITIONALS AND DEONTIC MODALS

- (13) a. If Abe goes to the party, Bea will go as well. $p \rightarrow q$
 b. Well, Abe won't go. $\neg p$
- (14) a. You must pass the exam. $\boxed{\forall} p$
 b. I already passed the exam. p

- When (13-b) holds, (13-a) is **dismissed**.
- When (14-b) holds, (14-a) is **dismissed**.

INFORMATION STATES

STATES:

- A **state** is a **set of worlds**.
- The **empty set** is called the **absurd** state.
- The set of **all worlds** is called the **ignorant state**.

SUPPORT, REJECTION AND DISMISSAL

ATOMIC SENTENCES

- A state σ **supports** p iff
 - ① σ is **not absurd**, and
 - ② **all** worlds in σ are p worlds.
- A state σ **rejects** p iff
 - ① σ is **not absurd**, and
 - ② **no** worlds in σ are p worlds.
- A state σ **dismisses** p iff σ is **absurd**.



σ supports p



σ rejects p



σ dismisses p

INFORMATIVENESS AND SUPPOSITIONALITY

INFORMATIVE

φ is **informative** iff the **ignorant state** does not support φ .

SUPPOSITIONAL

φ is **suppositional** iff some **non-absurd state** dismisses φ .



σ supports p



σ rejects p



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APPLYING THE NOTIONS

The atomic sentence p is **informative** and **not suppositional**.

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NEGATION

NEGATION IN SUPPOSITIONAL INQUISITIVE SEMANTICS

- σ **supports** $\neg\varphi$ iff σ rejects φ
- σ **rejects** $\neg\varphi$ iff σ supports φ
- σ **dismisses** $\neg\varphi$ iff σ dismisses φ .

DOUBLE NEGATION

φ is equivalent to $\neg\neg\varphi$

QUESTIONS

QUESTIONS IN SUPPOSITIONAL INQUISITIVE SEMANTICS

- σ **supports** $? \varphi$ iff σ supports φ or σ rejects φ
- No state **rejects** $? \varphi$
- σ **dismisses** $? \varphi$ iff σ dismisses φ .

SUPPOSABILITY IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

INFORMATIVE CONTENT

$\text{info}(\varphi)$ is the **union of all states that support φ** .

Equivalently in the non-inquisitive case:

the maximal (minimally informed) state that supports φ .

SUPPOSABILITY

φ is **supposable** in σ iff $\sigma \cap \text{info}(\varphi)$ supports φ

THE ABSURD STATE IN SUPPOSITIONAL INQUISITIVE SEMANTICS

In the **absurd state** (\emptyset), nothing is supposable, since it does **not support or reject** any sentence. It **dismisses every sentence**.

SUPPOSABILITY IS NOT PERSISTENT, NON-SUPPOSABILITY IS

As information grows, supposability may get lost, and once it gets lost, it stays lost.

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03 Epistemic modals

Might

EPISTEMIC MIGHT IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

Might AS A SUPPOSABILITY CHECK

- $\diamond\varphi$ is treated as a **supposability check**.
- For basic cases, when φ is not suppositional, this amounts to **checking consistency**.

EPISTEMIC MIGHT IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

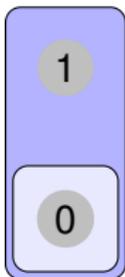
RECALL

φ is **supposable** in σ iff $\sigma \cap \text{info}(\varphi)$ supports φ

$\diamond\varphi$ IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

- σ **supports** $\diamond\varphi$ iff φ is **supposable** in σ
- σ **rejects** $\diamond\varphi$ iff
 - 1 φ is **not supposable** in σ , and
 - 2 $\neg\varphi$ is **supposable** in σ .
- σ **dismisses** $\diamond\varphi$ iff φ is **not supposable** in σ

PICTURE OF MEANING $\diamond p$



σ supports $\diamond p$

1



σ rejects $\diamond p$

1



σ dismisses $\diamond p$

INFORMATIVENESS AND SUPPOSABILITY

- Since the **ignorant state** supports $\diamond p$, it is **not informative**.
- Since a **non-absurd** state dismisses $\diamond p$, it is **suppositional**.

04 Implication

Supposition failure

IMPLICATION IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

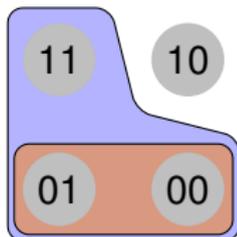
SUPPOSING

- φ is **supposable** in σ iff $\sigma \cap \text{info}(\varphi)$ supports φ
- To **suppose** φ in σ is to take $\sigma \cap \text{info}(\varphi)$.

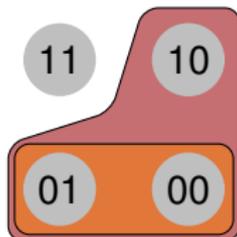
IMPLICATION IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

- σ **supports** $\varphi \rightarrow \psi$ iff
- (A) φ is **supposable** in σ , and
 - (B) if φ is **supposed** in σ , then ψ is **supported**.
- σ **rejects** $\varphi \rightarrow \psi$ iff
- (A) φ is **supposable** in σ , and
 - (B) if φ is **supposed** in σ , then ψ is **rejected**.
- σ **dismisses** $\varphi \rightarrow \psi$ iff
- (A) φ is **not supposable** in σ , or
 - (B) if φ is **supposed** in σ , then ψ is **dismissed**.

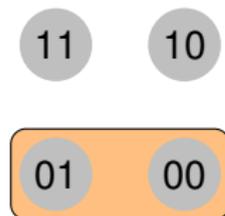
PICTURE OF MEANING OF $p \rightarrow q$



Supporting $p \rightarrow q$



Rejecting $p \rightarrow q$



Dismissing $p \rightarrow q$

INFORMATIVENESS AND SUPPOSABILITY

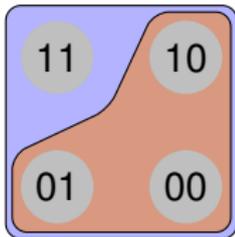
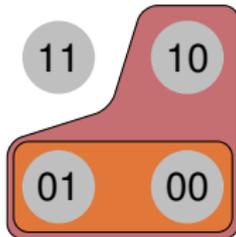
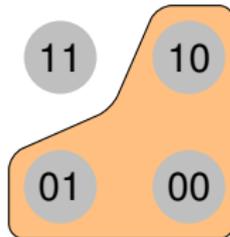
- Since the **ignorant state** does not support $p \rightarrow q$, it is **informative**.
- And, since a **non-absurd** state dismisses $p \rightarrow q$, it is **suppositional**.

MOTIVATING THE REJECTION CLAUSE FOR MIGHT

THE REJECTION CLAUSE OF $\diamond\varphi$

σ **rejects** $\diamond\varphi$ iff

- 1 φ is not supposable in σ , and
- 2 $\neg\varphi$ is supposable in σ .

Supporting $\diamond(p \rightarrow q)$ Rejecting $\diamond(p \rightarrow q)$ Dismissing $\diamond(p \rightarrow q)$

05

Deontics

Worlds and rulings

WORLDS AND RULINGS

WORLDS AND RULINGS

- A **world** w is a **valuation function** such that for every atomic sentence p : $w(p) = 1$ (true) or $w(p) = 0$ (false).
- A **ruling** r is a **violation function** such that for every world w : $r(w) = 1$ (no violation) or $r(w) = 0$ (violation).

RULINGS

A set of rulings embodies information on what the rules could be.

DEONTIC INFORMATION STATES

A deontic state σ is a set of world-ruling pairs such that:

$\sigma = \text{worlds in } \sigma \times \text{rulings in } \sigma.$

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PICTURE OF A DEONTIC STATE

σ_0	w_1	w_2
r_1	1	0
r_2	1	0
r_3	1	0
r_4	1	0

Ignorant state with only 1 atom

PROVIDING WORLD-INFORMATION

σ_0	w_1	w_2
r_1	1	0
r_2	1	0
r_3	1	0
r_4	1	0

Ignorant state (1 atom)

σ_1	w_1	w_2
r_1	1	0
r_2	1	0
r_3	1	0
r_4	1	0

σ_1 supports $\neg p$

σ_1	w_1	w_2
$r_{1,2}$	1	0
$r_{3,4}$	1	0

σ_1 supports $\neg p$

OBLIGATION

MUST IN SUPPOSITIONAL [INQUISITIVE] SEMANTICS

- $\boxed{V}\varphi := \neg\varphi \rightarrow \text{bad}$

WHERE:

- σ **supports** bad iff σ is **not absurd** and according to **all rulings** in σ **all** the worlds in σ are **violation** worlds.
- σ **rejects** bad iff σ is **not absurd** and according to **all rulings** in σ **all** the worlds in σ are **non-violation** worlds.
- σ **dismisses** bad iff σ is **absurd**.

PROVIDING DEONTIC INFORMATION

σ_0	w_1	w_2
r_1	1	0
r_2	1	0
r_3	1	0
r_4	1	0

Ignorant state

σ_1	w_1	w_2
r_1	1	0
r_2	1	0
r_3	1	0
r_4	1	0

σ_1 supports $\neg p$

σ_2	w_1	w_2
r_3	1	0
r_4	1	0

σ_2 supports $\neg p$, and
 σ_2 supports $\Box p$

06

A semantic solution

Back to the puzzle

POSSIBLE WORLDS AND RULINGS

Just for economy, we ignore the irrelevant world 01.

σ_0	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00
r_5	11	10	00
r_6	11	10	00
r_7	11	10	00
r_8	11	10	00

Deontically ignorant state

ADDING THE GENERAL RULE

RECALL THE GENERAL RULE

(15) If you accept the request to write a review, you ought to write it.

$$p \rightarrow \boxed{\forall} q$$

σ_0	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00
r_5	11	10	00
r_6	11	10	00
r_7	11	10	00
r_8	11	10	00

Deontically ignorant state

σ_1	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00

σ_1 supports $p \rightarrow \boxed{\forall} q$

SUPPOSITIONAL OBLIGATIONS

THE SPECIFIC RULE

- (16) If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall} p$

σ_0	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00
r_5	11	10	00
r_6	11	10	00
r_7	11	10	00
r_8	11	10	00

Deontically ignorant state

RECALL

$\diamond q$ is not informative.

σ_2	w_1	w_2	w_3
r_2	11	10	00
r_4	11	10	00
r_6	11	10	00
r_8	11	10	00

σ_2 supports $\diamond q \rightarrow \boxed{\forall} p$, and
 σ_2 supports $\boxed{\forall} p$

ADDING THE SPECIFIC RULE

THE SPECIFIC RULE

- (17) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{V}q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{V}p$

σ_1	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00

σ_1 supports $p \rightarrow \boxed{V}q$

σ_2	w_1	w_2	w_3
r_2	11	10	00
r_4	11	10	00
r_6	11	10	00
r_8	11	10	00

σ_2 supports $\diamond q \rightarrow \boxed{V}p$

σ_3	w_1	w_2	w_3
r_2	11	10	00
r_4	11	10	00

σ_3 supports $p \rightarrow \boxed{V}q$,
 σ_3 supports $\diamond q \rightarrow \boxed{V}p$

DESIDERATA 1

σ_3	w_1	w_2	w_3
r_2	11	10	00
r_4	11	10	00

σ_3 supports $\diamond q$, and
 σ_3 supports $p \rightarrow \boxed{\forall} q$, and
 σ_3 supports $\diamond q \rightarrow \boxed{\forall} p$, and
 σ_3 supports $\boxed{\forall} p$, and
 σ_3 supports $\boxed{\forall} q$

DESIDERATA

- (18) If writing is **possible**, then you **must accept** the request and you **must write**.

WHEN IT IS NOT POSSIBLE THAT YOU WRITE THE REVIEW

ADDING THE SECOND RULE

- (19) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{V}q$

σ_1	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00

σ_1 supports $p \rightarrow \boxed{V}q$

THE REVIEW WILL NOT BE WRITTEN

THE PUZZLE

- (20) a. If you accept the request to write a review, you ought to write it. $p \rightarrow \boxed{\forall} q$
- b. If it is possible that you write the review, you ought to accept the request to write it. $\diamond q \rightarrow \boxed{\forall} p$
- c. It is not possible that you write the review. $\neg \diamond q$

σ_4	w_1	w_2	w_3
r_1	11	10	00
r_2	11	10	00
r_3	11	10	00
r_4	11	10	00

σ_4	w_1	w_2	w_3
$r_{1,3}$	11	10	00
$r_{2,4}$	11	10	00

σ_4 dismisses $\diamond q$, and
 σ_4 supports $p \rightarrow \boxed{\forall} q$, and
 σ_4 dismisses $\diamond q \rightarrow \boxed{\forall} p$

DESIDERATA 2

σ_4	w_1	w_2	w_3
$r_{1,3}$	11	10	00
$r_{2,4}$	11	10	00

σ_4 dismisses $\diamond q$, and
 σ_4 supports $p \rightarrow \boxed{\forall} q$, and
 σ_4 dismisses $\diamond q \rightarrow \boxed{\forall} p$
 σ_4 supports $\boxed{\forall} \neg p$

DESIDERATA

(21) If writing is **not possible**, then you **must not accept**.

SUMMARY

DESIDERATA

- (22) a. If writing is **possible**, then you **must accept** the request and you **must write**.
- b. If writing is **not possible**, then you **must not accept**.

σ_3	w_1	w_2	w_3
r_2	11	10	00
r_4	11	10	00

σ_3 supports $\diamond q$, and
 σ_3 supports $p \rightarrow \boxed{\forall} q$, and
 σ_3 supports $\diamond q \rightarrow \boxed{\forall} p$, and
 σ_3 supports $\boxed{\forall} p$, and
 σ_3 supports $\boxed{\forall} q$

σ_4	w_1	w_2	w_3
$r_{1,3}$	11	10	00
$r_{2,4}$	11	10	00

σ_4 dismisses $\diamond q$, and
 σ_4 supports $p \rightarrow \boxed{\forall} q$, and
 σ_4 dismisses $\diamond q \rightarrow \boxed{\forall} p$
 σ_4 supports $\boxed{\forall} \neg p$

07 Other puzzles

Free choice, Ross's puzzle, conditional oughts, etc.

A UNIFORM SOLUTION

- I proposed a semantic solution to Ross's puzzle, free choice, Dr. Procrastinate, and puzzles involving deontic conflicts in my dissertation (Aher 2013).
- The approach made false predictions when it encountered examples which suppositional inquisitive semantics characterizes as **supposition failure**.
- The same approach implemented in suppositional inquisitive semantics has the potential to provide a **uniform semantic solution** to these well-known puzzles.

WHAT ABOUT THE QUESTION IN THE BEGINNING?

A REVIEW REQUEST: DOES THE FOLLOWING HOLD?

(23) Should you accept the request to write a review? $?\Box p$

THE SUPPORT CLAUSE FOR QUESTIONS

σ supports $?\varphi$ iff σ supports φ or σ rejects φ

THE INITIAL ISSUE:

$\Box p$ or $\neg\Box p$ $?\Box p$

THE INTUITIVE ISSUE:

$\Box p$ or $\Box\neg p$ $\Box?p$

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THE END (OR IS IT?)

Thank you for listening

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